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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Carlton Bartels et al.
Application No.: 09/967,272 Confirmation No.: 6788
Filed : September 28, 2001
For : ELECTRONIC TRADING SYSTEM FOR SIMULATING THE
TRADING OF CARBON DIOXIDE EQUIVALENT EMISSION
REDUCTIONS AND METHODS OF USE
Group Art Unit : 2164
Examiner : Not yet assigned

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants
hereby make the following documents of record in the above-
identified patent application:

U.S. Patent Documents

Braddock, III	4,412,287	10/25/83
Kalmus et al.	4,674,044	06/16/87
Sibley, Jr.	4,677,552	06/30/87
Wagner	4,903,201	02/20/90
Lupien et al.	5,101,353	03/31/92
Champion et al.	5,126,936	06/30/92
Popolo	5,715,402	02/03/98
Johnson et al.	6,047,274	04/04/00
Tuck et al.	6,115,698	09/05/00

Foreign Patent Document

PCT

WO 98/49639

11/05/98

Copies of the aforementioned documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and are attached hereto.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully submitted,



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